



Please place a plus sign (+) inside this box →



COPY OF PAPERS
ORIGINALLY FILED

SECRET

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

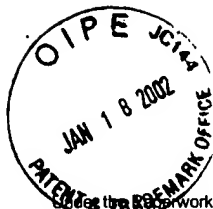
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/966,849
		Filing Date	September 28, 2001
		First Named Inventor	NAIR, MALATHY
		Group Art Unit	1761
		Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number	5488
ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):	Remarks
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual Name	GENERAL MILLS		
Signature	<i>John G. O'Toole</i>		
Date	November 14, 2001		

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 16, 2001.	
Typed or printed name	Brenda K. Popp
Signature	<i>Brenda K. Popp</i>
Date	November 16, 2001

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/966,849
		Filing Date	September 28, 2001
		First Named Inventor	NAIR, MALATHY
		Examiner Name	1761
		Group Art Unit	
		Attorney Docket No.	5488
TOTAL AMOUNT OF PAYMENT (\$)		130.00	
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 07-0900 Deposit Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money <input type="checkbox"/> Other Order		Fee Description	
FEE CALCULATION		Fee Paid	
1. BASIC FILING FEE		105 130 205 65 Surcharge - late filing fee or oath 130	
Large Entity Small Entity		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Fee Fee Fee Fee	Fee Description	139 130 139 130 Non-English specification	
Code (\$) Code (\$) Code (\$) Code (\$)		147 2520 147 2520 For filing a request for ex parte reexamination	
101 740 201 370 Utility filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
106 330 206 165 Design filing fee		113 1840* 113 1840* Requesting publication of SIR after Examiner action	
107 510 207 255 Plant filing fee		115 110 215 55 Extension for reply within first month	
108 740 208 370 Reissue filing fee		116 400 216 200 Extension for reply within second month	
114 160 214 80 Provisional filing fee		117 920 217 460 Extension for reply within third month	
SUBTOTAL (1) (\$)		118 1440 218 720 Extension for reply within fourth month	
2. EXTRA CLAIM FEES		128 1960 228 980 Extension for reply within fifth month	
Extra Claims Fee from below Fee Paid		119 310 220 155 Notice of Appeal	
Total Claims -20** = X =		120 310 220 155 Filing a brief in support of an appeal	
Indep. Claims -3** = X =		121 270 221 135 Request for oral hearing	
Multiple Dependent =		138 1510 138 1510 Petition to institute a public use proceeding	
Large Entity Small Entity	Fee Description	140 110 240 55 Petition to revive - unavoidable	
Fee Fee Fee Fee		141 1240 241 620 Petition to revive - unintentional	
Code (\$) Code (\$) Code (\$) Code (\$)		142 1280 242 620 Utility issue fee (or reissue)	
103 18 203 9 Claims in excess of 20		143 440 243 220 Design issue fee	
102 84 202 42 Independent claims in excess of 3		144 600 244 300 Plant issue fee	
104 280 204 140 Multiple dependent claim, if not paid		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129 (a))	
109 84 209 42 ** Reissue independent claims over original patent		149 710 246 355 For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		179 710 279 355 Request for Continued Examination (RCE)	
SUBTOTAL (2) (\$)		169 900 169 900 Request for expedited examination of a design application Advance Copies	
**or number previously paid, if greater; For Reissues, see above.		Other fee (specify) _____	
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	
		130.00	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John A. O'Toole	Registration No.	28,338
Signature		Telephone	763-764-2422
		Date	11/14/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

713

In Re.: Patent Application of
NAIR, MALATHY
MCGUIRE, JAMES E.
MURPHY, MAEVE
TOUHEY, ANN S.

Serial No.: 09/966,849

Filed: September 28, 2001

For: WHIPPED YOGURT PRODUCTS AND
METHOD OF PREPARATION

Docket No.: 5488

COPY OF PAPERS
ORIGINALLY FILED

FILING OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Applicant has received a Notice To File Missing Parts
Of Application--Filing Date Granted. The notice indicated
that the oath or declaration was unsigned.

Please find enclosed a Declaration executed by the
inventors.

Also enclosed is a copy of the Notice. The fee is
herewith made from Deposit Account No. 07-0900.

Respectfully submitted,

NAIR, MALATHY
MCGUIRE, JAMES E.
MURPHY, MAEVE
TOUHEY, ANN S.

I hereby certify that this document is
being deposited with the United States
Postal service as first class mail in an
envelope addressed to:

Assistant Commissioner for
Patents

Washington, DC 20231

on:

Member 16, 2001
Bundakstop

Signature

By John A. O'Toole
John A. O'Toole
Attorney for Applicants
Reg. No. 28,336
Minneapolis, MN
(763) 764-2422
FAX: (763) 764-2268
e-mail: john.otoole@genmills.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,849	09/28/2001	Malathy Nair	5488USA

30173
GENERAL MILLS, INC.
P.O. BOX 1113
MINNEAPOLIS, MN 55440

CONFIRMATION NO. 4998

FORMALITIES LETTER



OC000000006975598

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2002 MAHME1 00000022 070900 09966849

01 FC:105 130.00 CH

General Mills
World Headquarters
Main Offices

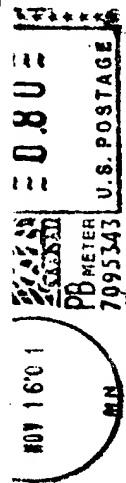
Post Office Box 1113
Minneapolis, Minnesota 55440



8890 GM-GO-6

First Class Mail

ASSISTANT COMMISSIONER
FOR PATENTS
WASHINGTON, DC 20231



COPY OF PAPERS
ORIGINALLY FILED